



The Patent Office Concept House Cardiff Road Newport South Wales NP10 800

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely

sulects the company to certain additional company law rules.

REC'D 0 2 AUG 2004

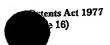
WIPO PCT

Signed

Dated

26 July 2004

Patents Form 1/77



Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in



The Patent Office

Cardiff Road Newport South Wales NP108QQ

| | | | _ |
|---|------|-----------|---|
| 1 | Vour | reference | - |

P017878.GB - JDV

Patent application number (The Patent Office will fill in this part) 0318921.4

3. Full name, address and postcode of the or of each applicant (underline all surnames)

ORANGE PERSONAL COMMUNICATIONS SERVICES LIMITED ST. JAMES' COURT GREAT PARK ROAD ALMONDSBURY PARK BRADLEY STOKE, BRISTOL, BS32 4QJ

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

6649032002

UNITED KINGDOM

Title of the invention

PACKET DATA COMMUNICATIONS

Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

D Young & Co

21 New Fetter Lane London EC4A 1DA

Patents ADP number (if you know it)

59006

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number (if you know it)

Date of filing (day / month / year)

If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing (day / month / year)

Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body. See notė (d))

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form NONE

Description 22

Claim (s). 6

Abstract 1

Drawing(s) 10 -

10. If you are also filing any of the following, state how many against each item.

Priority documents NONE

Translations of priority documents NONE

Statement of inventorship and right NONE to grant of a patent (Patents Form 7/77)

Request for preliminary examination 1 and search (Patents Form 9/77)

Request for substantive examination NONE

Any other documents NONE

(Patents Form 10/77)

(please specify)

I/We request the grant of a patent on the basis of this application.

Yoursalo Signature /

Date 12 AUGUST 2003

D Young & Co (Agents for the Applicants)

12. Name and daytime telephone number of person to contact in the United Kingdom

Dr Jonathan DeVile

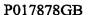
023 8071 9500

11.

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.



10

15

20

25

30



PACKET DATA COMMUNICATIONS

Field of the Invention

The present invention relates to internet packets which are communicated in accordance with an internet protocol to provide an internet packet data communications system.

In some embodiments the internet packet may find application with the Ipv6 internet protocol providing mobile Internet Protocol (IP) functions.

More particularly, embodiments of the present invention find application in packet data communications systems, which include packet radio networks. In one embodiment the packet data network operates in accordance with the General Packet Radio Service (GPRS) standard.

Background of the Invention

GPRS has been developed to communicate efficiently packets of data to and from mobile nodes using either a 2G (for example GSM) or 3G (for example UMTS) mobile radio network. GPRS provides support for a packet-orientated service, which attempts to optimise network and radio resources when communicating data packets such as for example internet data packets.

Generally, the GPRS network will be connected to another packet data telecommunications network, which may also be connected to further packet data telecommunications networks. The GPRS network includes a gateway support node (GGSN) which provides an interface between an external packet data communications network and nodes attached to the GPRS network and provides a plurality of bearers for communicating internet packets with the nodes.

The Internet Protocol as developed by the Internet Engineering Task Force (IETF) has become a preferred way of communicating packet data via telecommunications networks. Whilst version 4 of the Internet Protocol (Ipv4) has been standardised and has been deployed in many fixed networks, version 6 of the Internet Protocol is being developed in order to provide improved facilities. Amongst these improved facilities is a facility to communicate data packets to and from mobile nodes, which roam from a home network to a foreign network during an IP session [1].

Generally, following a process known as route optimisation which will be described shortly, a source and a destination address in the header of IP data packets being sent from and to a Mobile Node (MN) respectively will change as a result of the MN roaming to the foreign network.

The MN may be communicating IP data packets with a Correspondent Node (CN) which is attached to a GPRS network, then the GGSN of the GPRS network must be arranged to route the IP data packets via an appropriate bearer to the CN (which itself may be mobile). If the MN roams to a foreign network mid-session then the GGSN must be arranged to route the IP data packets to the CN (mobile user equipment) via the appropriate bearer. The appropriate bearer will have been set up by the GGSN when a session initiation was established at a time when the MN was attached to its home network. As such the parameters for the bearer will have been established with reference a home address of the MN as the source address. However as explained above, the source address in the header of the IP data packets will be changed during the session from the home address of the MN, when attached to its home network, to a care-of-address after the MN roams to the foreign network.

It has previously been proposed in co-pending UK patent application numbers 0226289.7, 0222187.7, 0230336.0, 0222161.2 and 0230335.2 to provide a mobile node's home address in an extension header field in IPv6 known as the hop-by-hop field. As such the GGSN will be able to identify the appropriate bearer through which IP data packets can be routed to a correspondent node (CN) attached to the GPRS network, because the MN's home address provides the source address with respect to which the appropriate bearer was set up. However according to the Ipv6 standard, if the hop-by-hop filed option is selected then every router along a communications path followed by the internet data packets from an MN to the CN is required to read the mobile's home address in the hop-by-hop field. This requirement could represent a reduction in performance of a network formed by the routers, as a result of the router reading the mobile's home address although this address may not be relevant to the router.

10

15

25

30

Summary of Invention

According to the present invention there is provided an internet packet comprising a header field, the header field including a field identifying a source address of the internet packet, a field identifying the destination address of the internet packet and a next header field identifying whether an extension header follows the header and a type of the extension header. The extension header indicates a hop-by-hop option header, the hop-by-hop extension header including a router alert option header indicating that the extension field is optional for a router to read, and a field providing information for a gateway support node of a packet radio system network.

As explained in our co-pending UK patent application number 0226289.7, by providing a home address of a mobile node in the hop-by-hop extension header field, inter-working between a gateway support node of a packet radio network and a data communications network when supporting mobile IP functions can be provided. However, if every router in a communications path between a mobile node and a correspondent node is required to read all the data in the hop-by-hop extension header, then a substantial reduction in performance to a network formed by the routers may occur. By providing a router alert option field in the hop-by-hop extension header, a relatively short indication that the hop-by-hop field is providing information to a gateway support node is made and therefore does not need to be read by a router. As a result any loss in performance caused by a router having to read the entire hop-by-hop field can be substantially reduced.

In some embodiments the internet packet is formed in accordance with the Ipv6 specification, the routers and the packet radio network being operable to support the Ipv6 specification. As such, according to the Ipv6 Router Alert Option standard the first three bits of the router alert option field are set to be zero, any router which does not recognise this Router Alert Option type will skip the information in the hop-by-hop field. For some applications (explained below) the remainder of the hop-by-hop extension header field may include at least a 128-bit address. According to the IP Ipv6 specification defined at www.ietf.org/rfc/rfc2460.txt [3], the hop-by-hop extension header field must be read and processed by every node along a packet's communication path. If a node or router is only required to read a relatively short

10

15

field (three bits) indicating that the rest of the message is only relevant to a gateway support node of a packet radio network, then a performance penalty for having to read the hop-by-hop extension header will be relatively small with respect to having to read the entire hop-by-hop field.

In one example, the gateway support node establishes a packet data bearer for communicating internet packets from a mobile node across a packet radio network to a CN attached to the network in accordance with a source address of the internet packets when an IP session was set up. If the source address of internet packets in the basic Ipv6 header changes during the session as a result of the mobile node roaming to a foreign network, the gateway support node will not recognise the source address and will drop the internet packets. The original source address (home address) which was used to establish the bearer across the packet radio network, is known as the home address. By providing the home address in the hop-by-hop extension header the gateway support node will be able to identify the appropriate bearer even though the source address of the internet packet in the basic Ipv6 header will have changed to a care-of-address not known to the gateway support node.

Various further aspects and features of the present invention are defined in the appended claims. These include a gateway support node, a router and a method of communicating internet packets.

10

15

20

25

30

Brief Description of the Drawings

Embodiments of the present invention will now be described by way of example only with reference to the accompanying drawings where like parts are provided with corresponding reference numerals and in which:

Figure 1 schematically illustrates an example architecture of a mobile radio network which is arranged to support packet data communications;

Figure 2 schematically illustrates a mobile node communicating with a correspondent node via a home network and after roaming to a foreign network performing a route optimisation procedure;

Figure 3 schematically illustrates example internet packets at different stages in the route optimisation procedure;

Figure 4 provides a schematic illustration of parts of a packet radio communications network;

Figure 5 is a schematic illustration of the parts shown in Figure 4 illustrating an operation of a gateway support node to communicate down-link packets to a correspondent node;

Figure 6 is a schematic illustration of an internet packet which has been adapted to provide a router alert header as part of a hop-by-hop extension header, with a field for providing information to the GGSN of Figure 2;

Figure 7 is a flow diagram illustrating the operation of the gateway support node when processing an internet packet in the form illustrated by the example shown in Figure 6 for a down-link communication;

Figure 8 is a flow diagram illustrating the operation of the gateway support node when processing an internet packet in the form illustrated by the example shown in Figure 6 where the GGSN information field includes a source home address;

Figure 9 is a flow diagram illustrating the operation of a router when processing an internet packet in the form illustrated by the example shown in Figure 6;

Figure 10 is a schematic illustration of the parts shown in Figure 4 illustrating an operation of a gateway support node for an up-link communication; and

Figure 11 is a flow diagram illustrating the operation of the gateway support node when processing an internet packet in the form illustrated by the example shown in Figure 6 for an up-link communication.

10

15

20

30

Description of the Preferred Embodiments

Mobile Packet Radio Network Architecture

An example architecture of a packet radio network which is arranged to support packet data communications is provided in Figure 1 and explained in more detail in Annex 1. To assist in understanding and explaining the embodiments of the present invention and the advantages provided by such embodiments, a brief description will be provided here. The packet radio network presented in Figure 1 illustrates an arrangement which conforms to the GPRS/UMTS standard and provides a packet radio network for communicating internet data packets with nodes which are attached to the network via terrestrial radio bearers referred to as UTRAN. The packet radio network includes a Gateway GPRS Support Node (GGSN) which is operable to provide an interface between an external network PDN and the nodes attached to the GPRS/UMTS network. Since the nodes are communicating via the UTRAN radio interface they may be generally mobile nodes. However in the following description the mobile user equipment (UE) which are attached to the packet radio network will be referred to as correspondent nodes CN. As will be explained shortly, the GPRS/UMTS network provides a plurality of packet data bearers for communicating internet packets from the GGSN to the correspondent nodes CN and from the correspondent nodes CN to the GGSN. Typically, packets received from correspondent nodes by the GGSN are allowed to egress from the packet radio network to the external packet communications network PDN. These packets may be destined for other nodes which may be attached to the external network PDN or may be attached other networks, the packets reaching these nodes via the external network PDN.

25 <u>Mobile Ipv6 Route Optimisation</u>

Route optimisation is a known as part of the internet protocol mobility standard version 6 (MIPV6) and may be performed for a node which roams from a home network to a foreign network. The route optimisation is a process by which a node, which changes its affiliation from a home network to a foreign network, can be arranged to communicate internet packets to and from the node via the foreign network

10

15

20

25

30

without being routed via the home network and without requiring a home agent as is the case for Ipv4. A node which changes its affiliation by roaming from its home network to a foreign network will be referred to in the following description as a mobile node.

As is conventional with the internet protocol, nodes which communicate internet packets between each other provide the destination address as well as the source address in the basic internet packet header. Figure 2 provides an illustration of a route optimisation process between a correspondent node CN attached to a GPRS network and a mobile node MN. In Figure 2 the correspondent node CN is communicating internet packets to and from the mobile node MN whilst the correspondent network MN is affiliated with a GPRS/UMTS network 200. As illustrated by two positions of the mobile node MN 202, 204, the mobile node which was originally communicating internet packets with the correspondent network CN via its home network 210 moves to a foreign network 212. Thus originally the mobile node MN was communicating internet packets via its home agent HA. When the mobile node MN moves from the home network 210 at position 202 to a foreign network 212 at position 204 internet packets according to a conventional operation of Ipv4 would have to be routed via the home agent. That is to say the destination address for packets sent to the mobile node MN would be its home address, and the source address of packets sent from the mobile node MN would be its home address. As such, internet packets would have to be routed via the foreign network 212 and the home network 210 to and from the correspondent node CN via the GPRS/UMTS network 200. It will be appreciated that routing packets via the home agent after the mobile node MN has roamed to the foreign network consumes network resources unnecessarily and further increases the delay in communication of the internet packets.

As mentioned above, route optimisation is a process by which internet packets are communicated between the correspondent node CN and the mobile node MN without having to pass through the home agent HA thereby reducing the resources used to communicate the internet packets. Typically a delay in communicating packets is also reduced.

Figures 2 and 3 effectively provide a summary of relevant parts of the route optimisation process, which will be useful in understanding the embodiments of the

10

15

20

25

30

present invention, which will be described shortly. Figure 3 provides an example illustration of Internet packet headers before and after route optimisation. In Figure 3 internet packet 300 provides an illustration of an internet packet (Ipv6) header to be sent from the mobile node MN when attached to the home network at position 202 to the correspondent node CN when attached to the GPRS network 200. The Internet packet header 300 includes the address of the correspondent node CN within a destination field 302 and the home address of the mobile node (MN) within a source address field 304. The Internet packet header 300 also includes a further field known as the hop-by-hop field 306 which will be explained shortly. The IP packet 300 for communication from the mobile node (MN) to the correspondent node (CN) is known as a down-link internet packet.

For the up-link, that is to say from the correspondent node CN to the mobile node MN, an Internet packet header 310 is shown to include within the destination field 312 the home address of the mobile node MN and, within the source address field 314, the address of the correspondent node CN.

Following route optimisation in accordance with a change of affiliation of the mobile node, the mobile node MN must inform the correspondent node of its new address. The new address, that is the address to be used to access the mobile node MN via the foreign network, is known as the care-of-address. To inform the correspondent node CN of the care-of-address of the mobile node MN, the mobile node MN sends the correspondent node CN a binding update message.

Following the binding update the internet packet header for the down-link 350 now includes the care-of-address of the mobile node MN in the source field 352. Correspondingly, the destination field, of the internet packet sent to the mobile node MN contains the care-of-address of the mobile node in the internet packet header 360.

Should the CN itself change its affiliation either within the network or to a foreign network then correspondingly a binding update would be performed by the correspondent node CN. As illustrated in Figure 2 the cache address store of the mobile node 230 is then updated to include the care-of-address of the correspondent node CN in association with the address of the correspondent node CN with the effect that subsequent Internet packets use the care-of-address of the correspondent node CN in place of the home address of the correspondent node.

10

15

20

25

Functions of the GGSN

An example embodiment of the present invention will now be described with reference to Figure 4 which provides elements forming part of the GPRS/UMTS network which appears in Figure 2. In Figure 4 a gateway support node (GGSN) 400 is shown together with a Serving GPRS Support Node (SGSN) 402 and a Universal Terrestrial Radio Access Network part (UTRAN) 404. The GGSN 400, the SGSN 402 and the UTRAN 404 form part of the packet radio network as represented in Figure 1 for communicating data packets to and from mobile User Equipment (UE) 406, which for the illustrative explanation forms the correspondent node CN. The UTRAN 404 includes RNCs and Node Bs as represented in Figure 1 and provides a facility for communicating packets via a radio access interface formed by the Node B with the UE 406.

As will be appreciated in the up-link direction, that is from the correspondent node CN 406 to the GGSN, corresponding tunnelling is employed to route the Internet packets back to the GGSN so that the internet packet can egress from the GPRS/UMTS network 200 to the foreign network 212. As shown in Figure 2 a communication path from the MN to the GGSN 400 includes several routers 420, 422, 426 within the foreign network 212.

Also included within the GPRS/UMTS elements shown in Figure 4 in the GGSN 400 is a Traffic Flow Template (TFT) controller 470 and a Service Based Policy (SBLP) controller 472. The TFT 470 and the SBLP 472 operate in accordance with an embodiment of the present invention as will be described shortly to manage the communication of IP data packets from the GGSN to the mobile UE (CN) and from the mobile UE (CN) to the GGSN and outward to the foreign network 212.

In the following description the mobile UE 406 forms the correspondent node CN as represented in Figure 2, whereas a node from which the UE 406 receives internet data packets and sends internet data packets to forms a mobile node which roams to the foreign network 212 as explained with reference to Figure 2.

10

In order to provide an explanation of the embodiments of the present invention the operation of the TFT controller 470 which is shown in Figure 4 will be briefly described with reference to Figure 5.

Traffic Flow Template (TFT based packet filtering at the GGSN)

As shown in Figure 5 the TFT controller 500 which operates in the GGSN mobile IP layer is provided with a list of source addresses 502 which are used to control the communication of IP data packets in accordance with a source address included within the Internet packet header. The TFT 500 arranges to communicate the IP data packets via an appropriate bearer which has been set up using the packet data protocol context activation which may be initiated by an application in the UE (CN), or on the mobile node MN and is analogous to logging onto a required destination.

To select an appropriate UMTS bearer the GGSN to establish a traffic flow template in accordance with the following parameters:

- IPV4 source address type
- IPV6 source address type
 - Protocol identifier/next header type
 - Single destination port type
 - Destination port range type
 - Single source port type
- Source port range type
 - Security perimeter index type
 - Type of service/Traffic class type
 - Flow level type

For each PDP context to be used for a multimedia session a traffic flow template is generated by the mobile terminal and sent to the GGSN which subsequently uses this traffic flow template to filter incoming packets based on information provided in the template. For example, for packets sent from an Ipv6 mobile node, the correspondent node CN will create a traffic flow template which creates the IP address of the mobile node as the Ipv6 source address for packets in the down-link direction.

10

15

20

30

As shown in Figure 5 an internet packet 504 received from the external packet data communications network 212 on the down-link, for communication to the CN 406 may include the address of the correspondent node CN ADD in the destination address field 506. The internet packet may include the home address of the mobile node HA of MN in the source address field 508.

In operation the TFT controller 500 checks the source address of the internet packet against the list 502 and routes the internet packet via the appropriate data bearer which has been set up within the TFT controller for communicating the Internet packet to the respective correspondent node CN. However, what happens when the mobile network roams from its home network 210 to the foreign network 212 as shown in Figure 2?

As explained with reference to Figure 3, following route optimisation the source address for the mobile node will be the care-of-address of the mobile node. Thus, an Internet packet 510 corresponding to the Internet packet 504 will be sent from the mobile node to the GGSN for communication to the correspondent node CN 406. As shown the IP header 510 received from the mobile node MN when attached to the foreign network 212 includes within its destination address field 512 the home address of the correspondent node CN ADD, but within its source address field 514 the care-of-address of the mobile node C of A MN. The TFT has a packet bearer, which has been set up and defined for conveying the internet packets to correspondent nodes in respect of the source address. However, the internet packet 510 received from the mobile node after it has roamed to the foreign network 212 will not be recognised by the TFT controller 500 and so the packet will be dropped, unless some adaptation of the GGSN is provided.

25 <u>Use of the Hop-by-Hop Extension Header Field</u>

A previously proposed solution disclosed in [5] for addressing the interworking between the TFT controller 500 in the GGSN after route optimisation is to include the home address of the mobile node MN within an extension header field known as the hop-by-hop field 516. By including the home address of the mobile node within the hop-by-hop field 516, the TFT controller can identify the appropriate bearer, which should be used to convey an Internet packet to the correspondent node

į

5

10

15

20

25

30

CN. This is the packet bearer, which was set up during a PDP context activation as part of a session initiation. Thus, if the mobile node roams to a foreign network during mid-session then by providing the home address of the mobile node in the hop-by-hop field the TFT controller 500 can identify the appropriate bearer to be used to convey the internet packets to the CN 406. The hop-by-hop address field is also known as the routing header type two (extension to header of IP6 packets).

According to the Ipv6 specification [3], the hop-by-hop extension field must be read by each intermediate router. As such, using the hop-by-hop extension header to provide the mobile's home address, will require that each router along a path communicating the internet packets from the mobile node to the correspondent node is required to read the mobile's home address in the hop-by-hop extension header. This requirement could represent a substantial performance degradation of the IP network, resulting from the requirement to read the mobile's home address in the hop-by-hop extension header.

Use of Router Alert Option for GGSN Information

In order to reduce a degradation in performance resulting from all routers along the communications path having to read the mobile node's home address in the hop-by-hop extension header field, an internet packet according to an embodiment of the invention includes information relating to supporting Ipv6 mobility for the GGSN, such as for example a router alert option in the hop-by-hop extension header which includes the mobile node's home address. A header for the internet packet is illustrated in Figure 6.

In Figure 6, the fields "Version" 601, "Traffic Class" 602, "Flow Label" 604, "Payload Length" 606 and "Hop Limit" 608 are as specified in [3] and so will not be explained here, since the reader can refer to [3] for this information. The "Next Header" field 610 is arranged to specify that the next header to the present header provides a hop-by-hop option (with a value = 0) and so must be read. The "source address" field 612 and the "destination address" field 614, provide the source and destinations addresses as explained with reference to Figure 3.

The "Next Header" field 616 within the hop-by-hop extension header part 618 provides an indication as to whether or not a further header follows the current

10

20

25

30

extension header. The field "Header Extension Length" 620 provides an indication of the length of the extended header if present.

The next fields in the IP packet are specified in accordance with the router alert option type [4]. The first of these fields provides the "Router Alert Option" field 622. The "Router Alert Option" field provides an indication that the field is for alerting routers and has a value of zero specified as three bits (000). The next field 624 provides a "hop-by-hop option type" which is set to a value of five by a field length of five bits.

The next field 624 provides a value field and is set to a value to indicate that the information in the remainder of the header is provided for the GGSN of a GPRS network. This value may be any value which has not so far been reserved in the specification [4]. For example the value may be "3". Alternatively, it may be possible to utilise a value already reserved such as "2" meaning "Datagram contains an active network message". If the definition of the value "2" permits the use of this value for mobility information for the GGSN, then this value may be re-used. Otherwise the 15 next available value which is "3" will be used. The remaining field 628 provides the information to the GGSN.

One example of the information for the GGSN which may be provided in the field 626 is the mobile node's home address. This provides a 128-bit address field. The router alert field in contrast is only 3 -bits with the "hop-by-hop option type" field being only 5-bits. As a result, since every router along the communications path must only read 3-bits to determine whether the information is relevant to the router concerned, a performance loss is substantially reduced with respect to a performance loss which may have occurred if a remaining 128-bit address field was also required to be read by every router along the communications path.

Summary of the Operation of the GGSN for TFT

In summary, by analysing the hop-by-hop field in combination with the source address field the TFT controller 500 can identify the appropriate bearer 520 to communicate the Internet packets to the correspondent node CN because the list 502 includes the home address of the mobile node. The operation of the GGSN when

15

20

25

30

receiving IP packets for ingress to the packet radio network is summarised by the flow diagram of Figure 7 and explained as follows:

- S1: An internet packet according to the example in Figure 6 is received from the external network.
- S2: The GGSN detects whether a next header field of the internet packet includes a hop-by-hop field option. If the GGSN does detect that the next header field includes a hop-by-hop field option, then processing proceeds to step S3, and otherwise continues from step S6.
- S3: If the GGSN detects the hop-by-hop extension header then at step S3 the GGSN identifies whether a router alert header type is present, within the hop-by-hop extension header field. In some embodiments this step may be omitted.
 - S4: In step S4, the GGSN recovers information from the field provided in the hop-by-hop extension header field providing data representing the information.
 - S5: The GGSN then controls egress and/or ingress of internet packets to the packet radio network in accordance with the information recovered.
 - S6: At step S6 the GGSN continues processing with oither functions, the processing of the current packet may however continue depending on the content of the GGSN information field.

As will be appreciated one of the uses of the field in the hop-by-hop extension header field is to provide a mobile node's home address as source address, so that the TFT can identify the appropriate bearer for communicating the internet packet to the correspondent node. For this example embodiment the GGSN operates as represented by the flow diagram in Figure 8 and explained as follows:

- S7: The GGSN detects, from either the data provided in the hop-by-hop extension header field for the gateway support node, or the source address of the internet packet, a source home address of a mobile node communicating the internet packets.
- S8: The GGSN determines whether the source address of the internet packet identifies the packet data bearer for communicating the internet packets to a correspondent node attached to the packet radio network. If the source address does correspond to a packet data bearer then processing proceeds to step S10, otherwise processing proceeds to step S9.

15

20

25

30

S9: The GGSN determines whether the home address provided in the GGSN information field identifies the packet data bearer for communicating the internet packets to a correspondent node attached to the packet radio network. If the home address does correspond to a packet data bearer then processing proceeds to step S10, otherwise at step S11 the packet is dropped.

S10: The GGSN allows ingress of the internet packets via the identified packet data bearer, or

S11: The GGSN drops the internet packet.

Summary of Router Operation

As will be appreciated, routers along a communications path between the mobile node and the correspondent node may now operate according to the standard, without being required to read the entire hop-by-hop extension header. This is because the router only needs to read as far as the router alert option field an ignore the remainder to the hop-by-hop extension header.

In summary, a router may handle the internet packet according to Figure 6 as summarised in Figure 9 and explained as follows:

S20: The router receives the internet packet in accordance with the example illustrated in Figure 6.

S22: The router detects whether or not a next header field of the internet packet includes a hop-by-hop field option. If not then at step S28, the router processes the internet packet as usual based on the source and destination addresses in the unextended header.

S24: If the router detects that the hop-by-hop extension header is present, then the router determines whether a router alert header type is present within the hop-by-hop extension header field, by reading the router alert field (3-bits according to Ipv6 [4]). If the router alert header is present then processing proceeds to step S28 and the router does not read the extension header field.

S26: If the router alert header is not detected then, the hop-by-hop extension header is read by the router and processes the header accordingly.

S28: If the router alert header is detected or there is no hop-by-hop extension header field present, then the router forwards the internet packet in accordance with

the destination address and does not read further the content of the hop-by-hop extension field.

S30: Processing of internet packets by the router continues.

15

20

25

30

Inter-working Mobile IPV6 with SBLP of IMS in GPRS/UMTS

Figure 10 provides a simplified diagram of parts of the GPRS/UMTS network shown in Figure 4 and configured for communicating data packets on the up-link from the correspondent node CN to the mobile node MN as previously discussed with reference to Figure 5 for down-link communications.

In Figure 10 a bearer 900 which was initiated by the correspondent node CN using a PDP context activation is provided for up-link communications to the mobile node MN. An example of an Internet packet header 902 communicated with an Internet packet on the up-link is sent using the bearer 900 through the UTRAN 404, the SGSN 402 to the GGSN 400 and outward to the foreign network 212. However, within the GGSN 400, the SBLP is provided in order to police access by the CN (mobile user equipment) to the quality of service resources in the UMTS network and further out into the external packet data communications network 212. The SBLP 472 operates to effect a policy function as a policy decision point or policy enforcement point in order to prevent theft of service attacks by unscrupulous parties. For example, an unscrupulous party may wish to gain access to IP multimedia subsystem services (IMS) even though the party has not subscribed to the services. As such, unless the GGSN can recognise a legitimate destination address, then the packet will be dropped. The destination address is a legitimate address established when the IP session was set up. For the mobile node, this will be the home address.

By using the internet packet according to the example shown in Figure 6 for up-link communications, in which the destination mobile's home address is provided in the hop-by-hop extension header, the internet packet will be allowed to egress from the GGSN in accordance with the SBLP function. Again, by providing the home address as part of a router alert option header, a potential performance penalty which may result for routers within the network having to read the hop-by-hop field may be reduced.

The operation of the GGSN in accordance with an egress of packets from the GPRS network is illustrated in Figure 11 and summarised as follows:

10

S30: The GGSN perform egress packet filtering in accordance with a destination address of internet packets received from the plurality of packet data bearers. Egress of internet packets is allowed for internet packets having a legitimate destination address in accordance with a Service Based Local Policy (SBLP) function.

S32: Upon receipt of the internet packet illustrated in Figure 6, the GGSN detects from the data provided in the hop-by-hop extension header field for the gateway support node a destination home address of a mobile correspondent node which is to be the destination of the internet packets.

S34: If the hop-by-hop extension header field contains a legitimate destination home address, then at step S35 egress of internet packets is allowed. Otherwise at step S36 the internet packet is dropped.

Various further aspects and features of the present invention are defined in the appended claims. Various modifications can be made to the embodiments herein described without departing from the scope of the present invention.

References

5

- [1] D. Johnson, C. Parkins, J. Arkko, "Mobility in Ipv6", Internet Draft, Internet Engineering Task Force, 20 January 2003.
- [2] R. Steele, C-C Lee and P.Gould, "GSM, cdmaOne and 3G Systems," published by Wiley International ISBN 0 471 491853
 - [3] S. Deering and R. Hinden, "Internet Protocol (Version 6 (IPv6) Specification, Request for Comments 2460, Ipv6 standards document, www.ietf.org/rfc/rfc2460.txt
- [4] C. Partridge and A. Jackson, Ipv6 Router Alert Option, Request for Comments 2711, Ipv6 standards document, www.ietf.org/rfc/rfc2711.txt
 - [5] UK Patent application numbers 0226289.7, 0222187.7, 0230336.0, 0222161.2, 0230335.2.

ANNEX 1

5

10

15

20

25

30

GPRS/UMTS Architecture

The terminology and architecture used in Figure 1 corresponds to that used for the UMTS and that proposed for 3G as administered by the 3GPP, more details for which may be found in [1]. In Figure 1, a Gateway GPRS Support Node (GGSN) is connected to an external Packet Data Network 102, (PDN). The external PDN communicates as packets using the Internet Protocol (IP). An interface 104 between the GGSN and the external network is labelled Gi which has been standardised although further aspects are being standardised. Also connected to the GGSN is a Serving GPRS Support Node (SGSN) 106 via an interface 108 labelled as Gn/Gp which is also being standardised.

The GGSN and the SGSN are two of network components, which are required to support GPRS. The GGSN acts as the gateway between the external packet data networks (PDN) and the mobile network, which supports GPRS. The GGSN contains sufficient information to route incoming IP data packets to the SGSN that is serving a particular User Equipment (UE) which is mobile and receives data via a radio access facility provided by the mobile packet radio network. For the example embodiment the radio access facility is provided in accordance with the Universal Terrestrial Radio Access Network (UTRAN) system which is specified in accordance with the 3GPP standard. The SGSN is connected to the GGSN via a Gn interface if the SGSN is within the same Public Land Mobile Network (PLMN), and connected via the Gp interface to GGSNs belonging to other PLMNs.

An SGSN provides mobility management of UEs which are moving within an area supported by the mobile radio network. To this end the SGSN is provided with access to a Home Location Register (HLR) 110. The SGSN is arranged to route data packets to Radio Network Controllers (RNC) 112, 114 for communication via the UTRAN radio access facility to mobile users UE 116, 118. The UTRAN radio access facility is provided using Node B apparatus 120, 122, 124, 126, 128, which effectively form base stations providing radio coverage for the area served by the mobile telecommunications network. The interface 130, 132, 134, 136, 138 between each RNC 112, 114 and the Node B apparatus 120, 122, 124, 126, 128, are labelled Iub and

conform to an established or evolving standard. Similarly the interfaces 140, 142 between the SGSN and each RNC 112, 114 are labelled as Iu-ps and is an evolving standard.

CLAIMS

1.— An internet packet comprising a header field, the header field including a field identifying a source address of the internet packet, a field identifying the destination address of the internet packet and a next header field identifying whether an extension header follows the header and a type of the extension header, wherein the extension header indicates a hop-by-hop option header, the hop-by-hop extension header including a router alert option header indicating that the extension field is optional for a router to read, and a field providing information for a gateway support node of a packet radio network.

10

5

- 2. An internet packet as claimed in Claim 1, wherein the field providing information for the gateway support node includes a home address of a mobile node.
- 3. An internet packet as claimed in Claim 1 or 2, wherein the router alert option header includes a first field indicating that the hop-by-hop extension header is optional, a second field indicating the hop-by-hop option type number, and a third value field, the value in the value field indicating that a fourth field provides the information for the gateway support node.
- 4. An internet packet as claimed in any preceding claim, wherein the first field of the router alert option header is provided as a relatively short field with the effect that a time for a router to read the first field is reduced with respect to a requirement to read all data in the hop-by-hop extension header.
- 5. An internet packet as claimed in Claim 4, wherein the first field comprises three bits.
 - 6. An internet packet as claimed in Claim 5, wherein the three bits are all zeros.

- 7. An internet packet as claimed in Claims 4 to 6, wherein the second field of the router alert option header indicating the hop-by-hop option type comprises five bits set to a value of five.
- 5 8. An internet packet as claimed in any preceding Claim, wherein the internet packet is a Ipv6 internet packet.
- An internet packet as claimed in any of Claim 2 to 8, wherein the home
 address of the mobile node corresponds to the source address of the mobile node when
 associated with a home network when an internet protocol session was initiated.
 - 10. An internet packet as claimed in any of Claims 2 to 8, wherein the home address of the mobile node corresponds to the destination address of the mobile node associated with a home network when an internet protocol session was initiated.
 - 11. An internet packet as claimed in any of Claims 1 to 10, wherein the the packet radio network is a General Packet Radio Service network, the information for the gateway support node being provided for a Gateway GPRS Support Node of the GPRS network.
 - 12. A gateway support node (GGSN) operable to provide an interface between an external packet data communications network and a packet radio network, the gateway support node (GGSN) being operable upon receipt of the internet packet according to any of claims 1 to 11,
 - to detect that a next header field of the internet packet includes a hop-by-hop field option, and

to recover information for the gateway support node, from the field provided in the hop-by-hop extension header field providing data representing the information and to control egress and/or ingress of internet packets to the packet radio network in accordance with the information.

15

20

30

25

13. A gateway support node as claimed in Claim 12, wherein the packet radio network provides a plurality of packet data bearers for communicating the internet packets with nodes attached to the packet radio network, each of the packet data bearers being defined with respect to a source home address of nodes communicating the internet packets, the gateway support node being operable

to control ingress of internet packets from the external communications network to the packet data bearers of the packet radio network, by

detecting from the data provided in the hop-by-hop extension header field for the gateway support node a source home address of a mobile correspondent node communicating the internet packets, the home address being used by the gateway support node to identify the packet data bearer for communicating the internet packets to a correspondent node attached to the packet radio network, and

allowing ingress of the internet packets to the identified packet data bearer.

14. A gateway support node as claimed in Claim 13, the gateway support node being operable

to allow ingress of the internet packets if either the address in the source address field of the internet packet or the address provided in the field in hop-by-hop extension header for the gateway support node corresponds to a packet data bearer.

20

25

30

5

10

15

15. A gateway support node as claimed in Claim 13 or 14, the gateway support node being operable

to perform egress packet filtering in accordance with a destination address of internet packets received from the plurality of packet data bearers, egress of internet packets being allowed for internet packets having a legitimate destination address, and upon receipt of the internet packet according to any of Claims 1 to 10,

to detect from the data provided in the hop-by-hop extension header field for the gateway support node a destination home address of a mobile correspondent node which is to be the destination of the internet packets, and

to allow egress of internet packets if the gateway support node recognises the destination home address as a legitimate home address.

16. A gateway support node as claimed in Claim 15, the gateway support node being operable to allow egress of the internet packets if either the address in the destination address field of the packet or the address provided in the hop-by-hop extension header for the gateway support node is a legitimate destination address.

5

20

25

- 17. A gateway support node as claimed in any of Claims 12 to 16, wherein the gateway support node is operable as a Gateway GPRS Support Node (GGSN), according to the General Packet Radio System standard.
- 18. A packet radio network operable to communicate internet packets between an external packet data network and nodes associated with the packet radio network, the packet radio network providing a plurality of packet data bearers for communicating the internet packets to and/or from the nodes attached to the packet radio network, the packet radio network including a gateway support node as claimed in any of Claims 12 to 17.
 - 19. A packet radio network as claimed in Claim 18, wherein the packet radio network is operable in accordance with the General Packet Radio System (GPRS) standard, the gateway support node being a Gateway GPRS Support Node (GGSN).
 - 20. An internet packet router operable to receive an internet packet according to any of Claims 1 to 11, the router being operable

to detect that a next header field of the internet packet includes a hop-by-hop field option,

to identify a router alert header type within the hop-by-hop extension header field, and

not to read further the content of the hop-by-hop extension field.

21. A method of operating a gateway support node to interface between an external packet data communications network and a packet radio network, the method comprising

20

25

30

receiving an internet packet according to any of claims 1 to 11,

detecting that a next header field of the internet packet includes a hop-by-hop field option, and

recovering information for the gateway support node, from the field provided in the hop-by-hop extension header field providing data representing the information, and

controlling egress and/or ingress of internet packets to the packet radio network in accordance with the information.

22. A method as claimed in Claim 21, wherein the packet radio network provides a plurality of packet data bearers for communicating the internet packets with nodes attached to the packet radio network, each of the packet data bearers being defined with respect to a source home address of nodes communicating the internet packets, the controlling the ingress of internet packets from the external communications network to the packet data bearers of the packet radio network, comprising

detecting from the data provided in the hop-by-hop extension header field for the gateway support node a source home address of a mobile correspondent node communicating the internet packets, the home address being used by the gateway support node to identify the packet data bearer for communicating the internet packets to a correspondent node attached to the packet radio network,

allowing ingress of the internet packets to the identified packet data bearer, and otherwise dropping the internet packet.

23. A method as claimed in Claim 21 or 22, the method comprising

performing egress packet filtering in accordance with a destination address of internet packets received from the plurality of packet data bearers, egress of internet packets being allowed for internet packets having a legitimate destination address, and upon receipt of the internet packet according to any of Claims 1 to 11,

detecting from the data provided in the hop-by-hop extension header field for the gateway support node a destination home address of a mobile correspondent node which is to be the destination of the internet packets, and

20

allowing egress of internet packets if the gateway support node recognises the destination home address as a legitimate home address.

- 24. A signal representing an internet packet according to any of claims 1 to 5 11.
 - 25. A signal bearing medium, the medium bearing the signal according to Claim 24.
- 10 26. Use of an Ipv6 Router Alert option header for communicating information relating to mobility to a Gateway GPRS Support Node.
 - 27. A computer program providing computer executable instructions, which when loaded on to a data processor configures the data processor to operate as a gateway support node as claimed in any of Claims 12 to 17.
 - 28. A computer program having computer executable instructions, which when loaded on to a data processor causes the data processor to perform a method according to any of Claims 22 to 24.
 - 29. A computer program product having a computer readable medium having recorded thereon information signals representative of the computer program claimed in Claim 27 or 28.
 - 25 30. A packet radio network or a gateway support node as claimed as herein before described with reference to the accompanying drawings.
 - 31. A method substantially as herein before described with reference to the accompanying drawings.

10

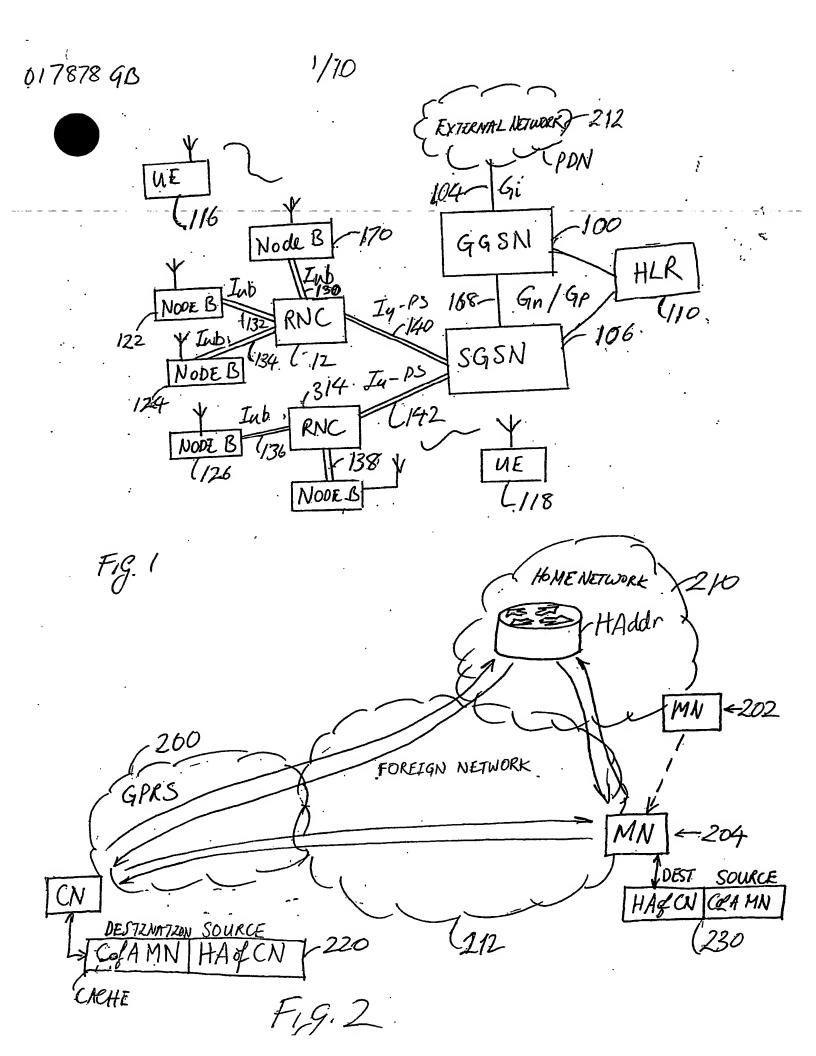
15

ABSTRACT

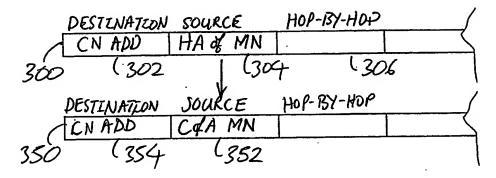
PACKET DATA COMMUNICATIONS

An internet packet comprises a header field, the header field including a field identifying a source address of the internet packet, a field identifying the destination address of the internet packet and a next header field identifying whether an extension header follows the header and a type of the extension header. The extension header including a router alert option header type indicating that the extension field is optional for a router to read, and a field providing information for a gateway support node of a packet radio system network. A gateway support node is thereby provided with information, which may be required for example to support A mobile internet protocol (IP). However, by providing the router alert option field, a router is not required to read the remainder of the hop-by-hop option field. As a result, a reduction in the performance of the router in routing internet packets, which may have been incurred if the router was required to read all the hop-by-hop extension field can be limited.

Figure 6



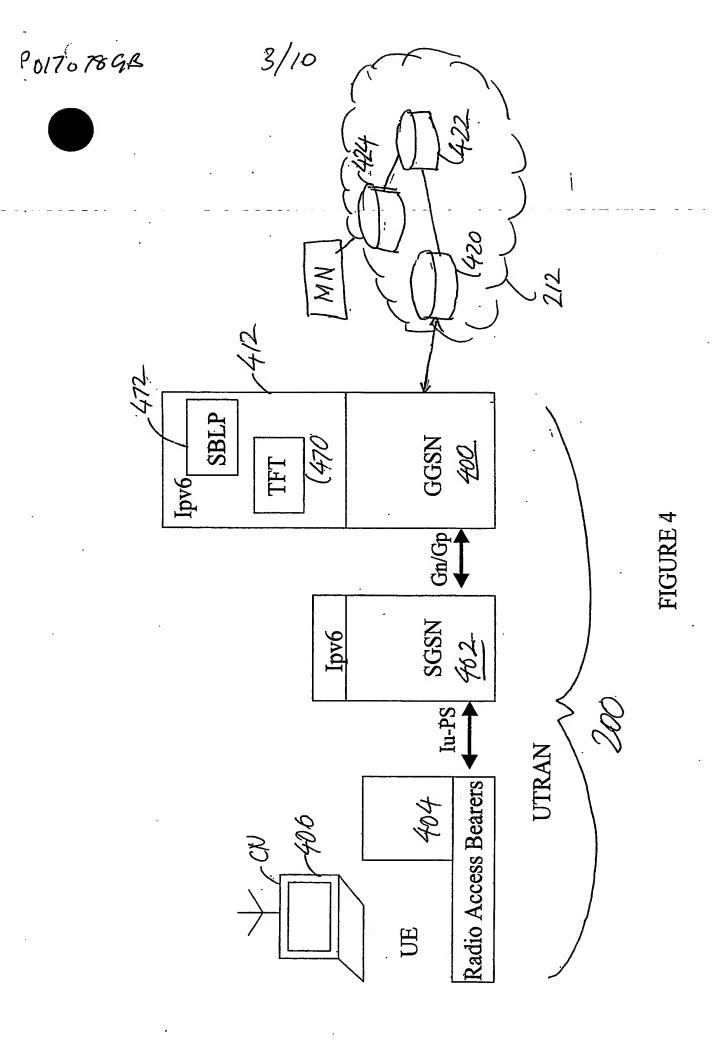
DOWN-LINK INTERNET PACKET (MN->CN)

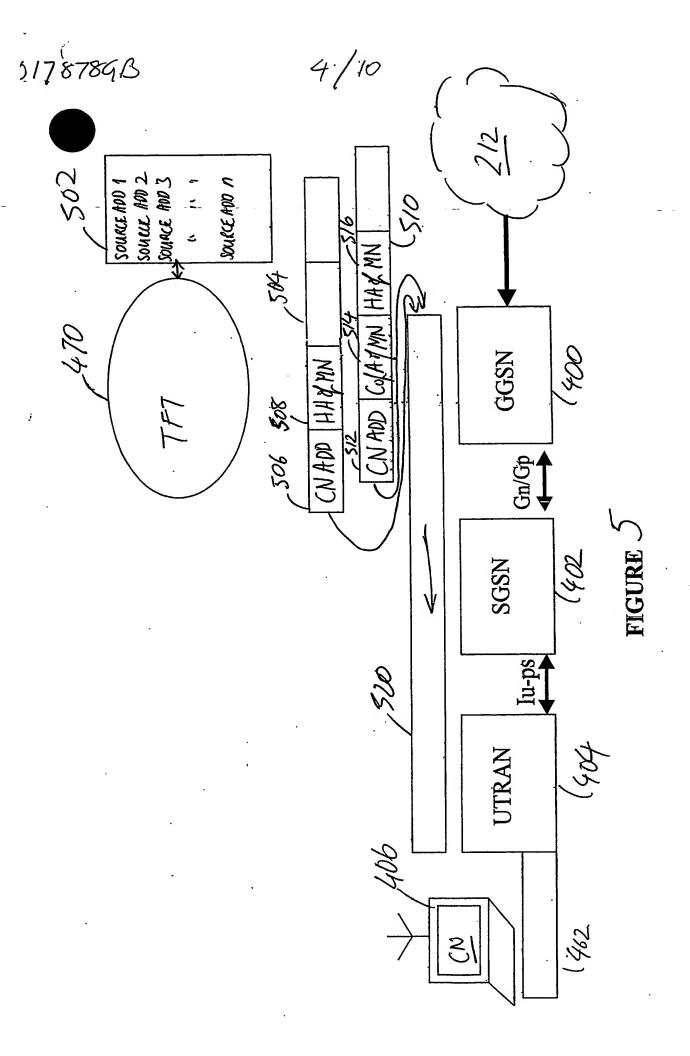


UP-LINK INTERNET PACKET ((N->MN)

| DESTINATION | SOURCE | HOP-BY-HOP | |
|-------------|--------|------------|--|
| HARMN | CN ADD | | |
| 310 312 | | 314 | |
| DESTENATION | SOURCE | HOP-BY-HOP | |
| | CN ADD | · | |
| 360 (362 | (34 | 4 | |

Fig 3





| Version | • | <u>601</u> | Traffic Class | 602 | Flow Label | 604 |] |
|--|-------------------------------|------------|---------------------------|-------------------------------------|--------------------------------------|------------|---|
| Payload Le | ength | <u>606</u> | Next Header Hop-by-hop | | Hop Limit | <u>608</u> | |
| Source Ad 612 | dress | | | Destination A | ddress | | |
| Next Header | Header Extension Length | | Router Alert Value = "0" | Hop-by-hop option type, Value = "5" | Value = "2" or "3" For GGSN Mobility | |) |
| <u>616</u> | <u>620</u> | | <u>622</u> | <u>624</u> | | <u>626</u> | { |
| Source/Destination Home Address of Mobile Node | | | | | | <u>628</u> | 5 |

Carry Colors

Fig 6

7017078GB

GGSN receives an internet packet from the external network (51 Does header field NO include hop-by-hop estion YES 53 GGSN detects whether the router a lest header field (622) is present in the header of the received internet packet 995N recovers information from the 995N information header field GGSN controls egress and/or ingress of internet packets in accordance with the information recovered from the 995Winformation field of the hop-by-hop extension field 995N continues precessing/performs other tasks

Fig. 7

ST om the GG

information field provided in the hop-by-hop header field, a-source address (set as a home address field a mobile rode) or the source address field

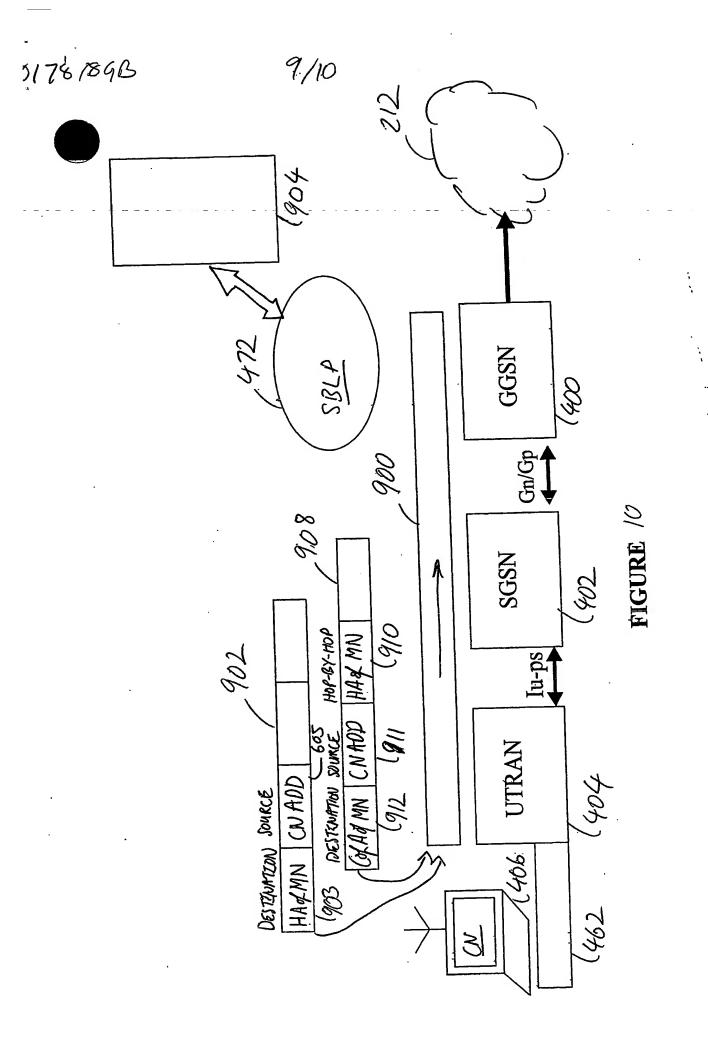
Allow ingress of internet packet via the identified beaver

prop the internet packet

F19.8

Router receives internet pucket S22 Does header NO include hop-by-trop extension heads Is the YES hop-by-hop header ascuter alert? .526 Router reads the extension header field and acts on data in the hop-by-hop extension header Router does not read the extension header field and forwards the internet packet according to the source/destriction oddresses Processing continues

F199



EGSN performs egress packet filtering (SBLP).

Filtering performed on the destination address of the internet packet received from the plurality of packet duta bearers.

1530

532

GGSN detects from the duta provided in the hop-try-hop extension header field for the GGSN a destination home address of the mobile Node

Is the Home address legitimente?

-535

Egress of packet allored according to SBLP function

536

Packet is dropped according to the SBLP function.

Fig. 11

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.